

#### UNITED STATES DEPARTMENT OF COMMERCE **Patent and Trademark Office**

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EXAMINER	
NGUYEN, N	
ART UNIT	PAPER NUMBER
2787	.3

DATE MAILED: 10/02/98

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

# Office Action Summary

Application No. 08/825,102

Applicant(s)

Gilad Goland

Examiner

Nguyen X. Nguyen

Group Art Unit 2787



Responsive to communication(s) filed on	
This action is <b>FINAL</b> .	
Since this application is in condition for allowance except for for in accordance with the practice under Ex parte Quayle, 1935 (	C.D. 11; 453 O.G. 213.
A shortened statutory period for response to this action is set to e is longer, from the mailing date of this communication. Failure to application to become abandoned. (35 U.S.C. § 133). Extensions 37 CFR 1.136(a).	respond within the period for response will cause the
Disposition of Claims	
	is/are pending in the application.
Of the above, claim(s)	
Claim(s)	
Claim(s)	
☐ Claims	are subject to restriction or election requirement.
Application Papers	
🛛 See the attached Notice of Draftsperson's Patent Drawing R	eview, PTO-948.
☐ The drawing(s) filed on is/are objected	to by the Examiner.
☐ The proposed drawing correction, filed on	is 🗀 pproved 🗀 disapproved.
$\square$ The specification is objected to by the Examiner.	
$\hfill\Box$ The oath or declaration is objected to by the Examiner.	
Priority under 35 U.S.C. § 119	
Acknowledgement is made of a claim for foreign priority und	der 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☐ None of the CERTIFIED copies of the	
received.	•
received in Application No. (Series Code/Serial Number	er) ·
received in this national stage application from the Int	· —
*Certified copies not received:	
☐ Acknowledgement is made of a claim for domestic priority u	inder 35 U.S.C. § 119(e).
Attachment(s)	
■ Notice of References Cited, PTO-892	
☑ Information Disclosure Statement(s), PTO-1449, Paper No(s)	. 2
☐ Interview Summary, PTO-413	,
☑ Notice of Draftsperson's Patent Drawing Review, PTO-948	
☐ Notice of Informal Patent Application, PTO-152	
	FOLLOWING PAGES

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#### **DETAILED ACTION**

# **Information Disclosure Statement**

1. The Examiner has considered the references listed in the Information Disclosure Statements submitted on 08/13/97 (see attached PTO-1449).

# Specification

- 2. Claims 1-10 are presented for examination.
- 3. The rejections cited as stated below:

# Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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5. Claims 1-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuznetsov et al. hereinafter Kuznetsov in view of Francisco et al. hereinafter Francisco.

As per claims 1, 3-4, and 5-10, Kuznetsov discloses a method of **intercepting** and **blocking** the dangeous request (see ref.fig. 5B, col. 7, lines 25-60) and **allowing** accesss (see ref.fig. 8, col 14, lines 43-63). However, Kuznetsov does not expressly teach the use of **security policy**. Francisco teaches such limitation (see ref.fig. 2A-B, col. 4, lines 25-31). It would have been obvious to a person of ordinary skill in the art at the time of the invention to employ Francisco's teachings within the system of Kuznetsov, because it would increase the security of the computer system from unauthorized access the hard disk. Therefore, it would have been obvious to combine Francisco with Kuznetsov to obtain the invention as specified in said claim.

As per claim 2, it is rejected applied as above in rejecting claim 1. Furthermore, Kuznetsov teaches the use of **security monitor** (see ref.fig. 1, col. 5, lines 45-60).

#### Conclusion

- 6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
  - a) Olkin teaches 'Middleware Program With Enhanced Security',
- b) Ault et al. teach 'Method and Apparatus For Creating A Security Environment For A User Task In A Client/Server System',

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c) Angelo et al. teach 'Method and Apparatus For Providing Secure And Private Keyboard Communications In Computer Systems',

- d) Ananda teaches 'Secure Software Rental System Using Continuous Asynchronous Password Verification',
- e) Jacobs et al. teach 'Remote Password Administration For A Computer Network

  Among A Plurality Of Nodes Sending A Password Update Message To All Nodes And Updating

  On Authorized Nodes',
- f) Woodring et al. teach 'Protected Mode Simulation Of A Real Mode Interupt Based Programming Interface In A Computer System',
- g) Miller et al. teach 'User Specific Intelligent Interface Which Intercepts And Either Replaces Or Passes Commands To A Data Indentity And The Field Accessed',
- h) Hershey et al. teach 'Network Security System And Method Using A Parallel Finite State Machine Adaptive Active Monitor And Responder',
  - i) Boitana teaches 'Apparatus And Method For Computer System Integrated Security'.
- 7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nguyen X. Nguyen whose telephone number is (703) 306 9131.

The examiner can normally be reached on Monday-Thursday from 6:30 AM to 4:00 PM, Eastern Time. The examiner can also be reached on alternate Fridays or e-mailed at nguyen.nguyen@uspto.gov.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh, can be reached on (703) 305 9648.

8. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-9051.

**EXAMINER** 

Nguyen X. Nguyen

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September 28, 1998

AYAZ R. SHEIKH SUPERVISORY PATENT EXAMINER GROUP 2700